

**Number of ECTS credits :** 2

**Course language :** Anglais

**Course leader :** AUDRAN-LY Anne

## ≡ COURSE DESCRIPTION

This course will give an overview about Compliance as an international topic covering risk management for corporations.

The course will cover : sources of compliance, Compliance management system (a global approach), real life example

## ≡ COURSE OBJECTIVES

The aim of the course is mainly to give an introduction to Compliance which is now an essential part of risk management in most of national and international corporations. Students should be able after the course to understand what is compliance about, how to take care of certain compliance risk and have an understanding of the main tools used by the companies

## ≡ LEARNING GOALS

**LG05 - Action :** Evaluate, prevent and manage short, medium and long-term risks

## ≡ TACKLED CONCEPTS

- Risk management
- Codes of Ethics
- Corporate compliance (companies' values, compliance tools ...)

## ≡ LEARNING METHODS

Lessons, practical cases and exercises.

## ≡ ASSIGNMENTS

Homework/Exercises/readings

## ≡ BIBLIOGRAPHY

**In French :** Risque Juridique et Conformité, Manager la Compliance (Lamy, sous la direction scientifique de Edhec Business School)

**In English :** Cultures of Compliance (this paper can be downloaded free of charge from : <http://scholarship.law.georgetown.edu/facpub/1799>)

## ≡ EVALUATION METHODS

## ≡ SESSIONS

**1**

### **Sources of Compliance**

LECTURE : 03h00

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International sources

National Sources

Internal Sources

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**2**

## **What is a compliance program?**

LECTURE : 03h00

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What has to be implemented to be Compliant?

How do companies build their compliance program

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**3**

## **How to roll out compliance in the company?**

LECTURE : 03h00

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Methodologies, investigations, audits

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**4**

## **Serious Game : Building a Code of Conduct**

LECTURE : 03h00

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Students will participate to a serious game in several teams

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**5**

## **Regulation Authorities**

LECTURE : 03h00

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How is compliance controlled throughout the world: a comparative approach

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